

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 2487879  |
| <b>Application Number:</b>                  | 10680589   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 5250   |
| <b>Title of Invention:</b>                  | Systems and methods for securitizing a commodity |
| <b>First Named Inventor/Applicant Name:</b> | Jonathan S. Spigel                               |
| <b>Customer Number:</b>                     | 909  |
| <b>Filer:</b>                               | Larry Joe Hume/Donna Lizama                      |
| <b>Filer Authorized By:</b>                 | Larry Joe Hume                                   |
| <b>Attorney Docket Number:</b>              | 201818-0315425                                   |
| <b>Receipt Date:</b>                        | 19-NOV-2007                                      |
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| <b>Time Stamp:</b>                          | 17:42:50   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)                      |

### Payment information:

|                        |    |
|------------------------|----|
| Submitted with Payment | no |
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### File Listing:

| Document Number | Document Description                         | File Name | File Size(Bytes) /Message Digest                   | Multi Part /.zip | Pages (if appl.) |
|-----------------|--|-----------|--|------------------|------------------|
| 1               | Information Disclosure Statement (IDS) Filed | IDS.pdf   | 134021<br>40842a65162fb9bdd1645b059ccabf4952dd1099 | no               | 3                |

### Warnings:

### Information:

This is not an USPTO supplied IDS fillable form

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|---|--|--|--|-----|---|
| 2 |  | ISR-and-Written-Opinion-for<br>_PCT-US06-37701.pdf | 252077                                       | yes | 5 |
|   |  |  | c9c7a84230e47ef98f849e3c15454e39f<br>3a69384 |     |   |

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|--|--|--|--------------|------------|
|  | <b>Multipart Description/PDF files in .zip description</b> |  |              |            |
|  | <b>Document Description</b>                                |  | <b>Start</b> | <b>End</b> |
|  | NPL Documents  |  | 1            | 2          |
|  | NPL Documents  |  | 3            | 5          |

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| <b>Total Files Size (in bytes):</b> | 386098 |
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**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**